



## Privacy Management

Effective September 2019 (Reviewed January 2021)

Protecting your privacy is fundamental to the way Ascensus Group, LLC and its affiliated companies ("Ascensus," "we," or "us") conducts business. The purpose of this webpage is to inform you how we collect, use, and share the personal information we collect about you from your use of our (i) websites; (ii) mobile apps, if applicable; (iii) products and/or services (collectively, the "Services"); or (iv) when you otherwise interact with us or receive a communication from us.

### Our Information Collecting Practices

For detailed information regarding our collection of personal information (i.e., Why we collect it; How we use it; and Your options for limiting our receipt of such personal information) please visit the [Ascensus Privacy Policy](#).

### Additional Protections

Ascensus complies with all applicable federal regulations related to the handling and processing of your personal information. In addition to these laws, some states provide its residents additional protections and rights regarding our use of personal information. To learn more about your state's additional privacy rights, if applicable, please review the section below titled, [State Privacy Rights](#).

**Note that we never sell your personal information. We also do not share your personal information unless permitted or required by law or contract.**

### Contact Directory

Ascensus appreciates your business and strives to provide an enhanced customer service experience. For privacy related questions, please use one of the following contact methods:

**General Privacy Related Inquiries:**

For general questions regarding Ascensus privacy policies, please contact us through one of the following means:

Email address: [Privacy@ascensus.com](mailto:Privacy@ascensus.com)

Regular Mail: 200 Dryden Road, Suite 4000, Dresher, PA 19025 Attn: Compliance

Department

Telephone: 844-981-1392

### Specific Privacy Related Inquiries:

For specific questions regarding a particular financial product, please contact us by telephone at the number displayed on the [Contact Us](#) page or at the number located on your plan documents or account statements.

For any questions regarding your rights under a particular state's laws, please refer to your state's section under State Privacy Rights, below, for contact information. If your state is not listed below, then your state does not have any additional rights beyond those addressed in the Ascensus Privacy Policy, and you may contact us as described above for general or product-specific inquiries.

Note: To help assure your personal information is kept confidential, we require that you identify yourself when calling by providing specific information, such as your account information and other identifying information.

### State Privacy Rights

If your state of residence has privacy laws related to your personal information, and you have questions or would like to exercise such rights, please refer to your applicable state's Privacy Statement section below:

If there is no Privacy Statement section listed for your state that means no additional privacy rights, beyond current federal statutes or regulations, exist within your state at this time.

✓ CALIFORNIA

## Changes to Our Privacy Notices

We reserve the right to amend this privacy notice at any time at our discretion. When we make changes to this privacy statement, we'll notify you through a notice on our website.